graphs

#### **Giulio Ermanno Pibiri**

Ca' Foscari University of Venice and ISTI-CNR



**YRA Seminar**, 2 November 2023

# **Compressing and indexing pangenomes** with meta-colored compacted de Bruijn

Joint work with Jason Fan and Rob Patro University of Maryland (USA)

# The colored k-mer indexing problem

- We are given a collection  $\mathscr{R} = \{R_1, ..., R_N\}$  of reference sequences. Each  $R_i$  is a (large) sequence over the DNA alphabet {A,C,G,T}.
- A **k-mer** is a sub-string of length k of some  $R_i$ .
- A lot of hype in the indexing community for the case where  $\mathscr{R}$  is a *pangenome*, i.e., a collection of related genomes.
- **Problem.** We want to build an *index* for  $\mathscr{R}$  so that we can retrieve the set  $Color(x) = \{i \mid x \in R_i\}$  efficiently for any k-mer x. Note that  $Color(x) = \emptyset$  if  $x \notin \mathscr{R}$ .

# The colored k-mer indexing problem

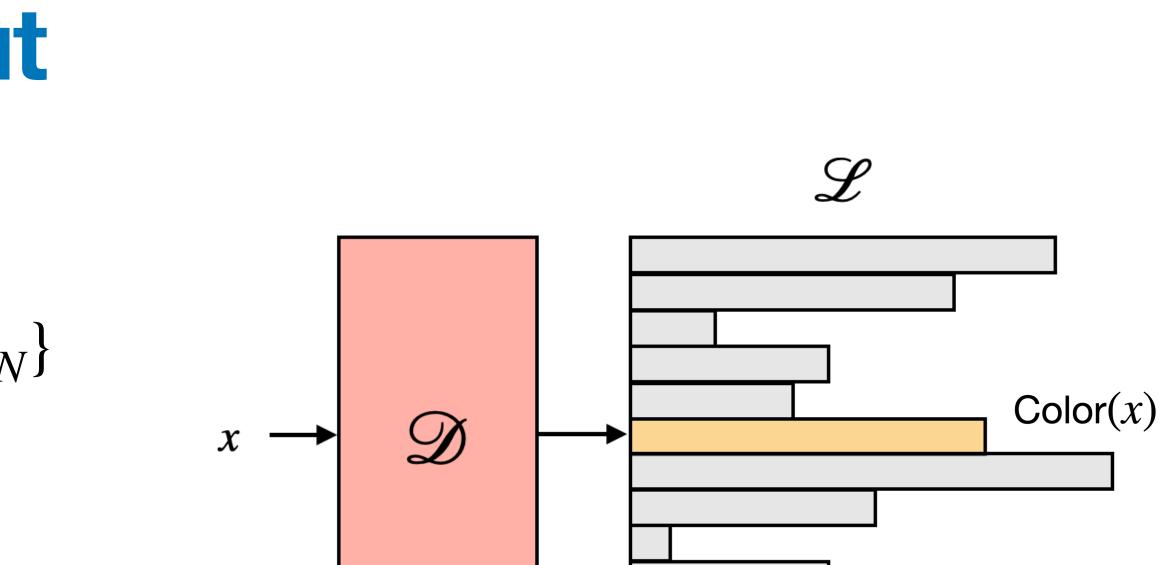
- We are given a collection  $\mathscr{R} = \{R_1, ..., R_N\}$  of reference sequences. Each  $R_i$  is a (large) sequence over the DNA alphabet {A,C,G,T}.
- A **k-mer** is a sub-string of length k of some  $R_i$ .
- A lot of hype in the indexing community for the case where  $\mathscr{R}$  is a *pangenome*, i.e., a collection of related genomes.
- **Problem.** We want to build an *index* for  $\mathscr{R}$  so that we can retrieve the set  $Color(x) = \{i \mid x \in R_i\}$  efficiently for any k-mer x. Note that  $Color(x) = \emptyset$  if  $x \notin \mathscr{R}$ .
- Applications: This problem is relevant for applications where sequences are first matched against known references (i.e., mapping/alignment algorithms): single-cell RNA-seq, metagenomics, etc.

# Modular indexing layout

- All the distinct k-mers in  $\mathscr{R} = \{R_1, ..., R_N\}$ are stored in the **dictionary**  $\mathscr{D}$ .
- What we want for a k-mer x is the map:

 $x \rightarrow \text{Color}(x) = \{i \mid x \in R_i\}.$ 

The collection of all Color(x) is the **inverted index**  $\mathscr{L}$ .



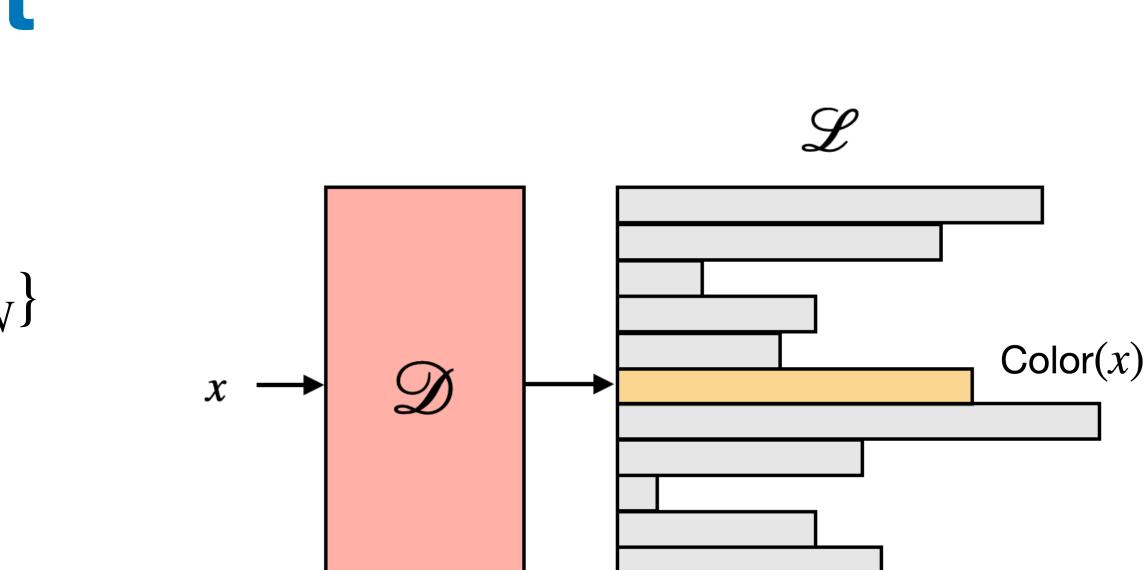
# **Modular indexing layout**

- All the distinct k-mers in  $\mathscr{R} = \{R_1, \dots, R_N\}$ are stored in the **dictionary**  $\mathcal{D}$ .
- What we want for a k-mer x is the map:

$$x \rightarrow \text{Color}(x) = \{i \mid x \in R_i\}.$$

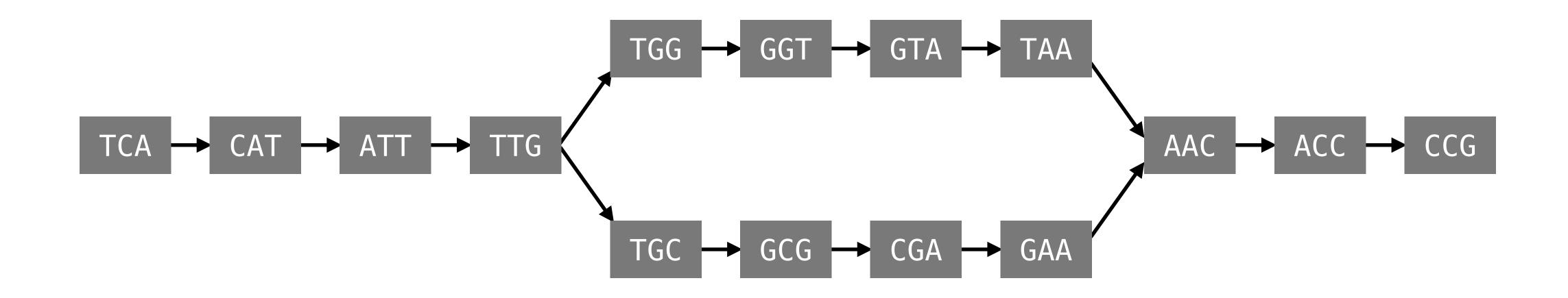
The collection of all Color(x) is the **inverted index**  $\mathscr{L}$ .

• Our problem reduces to that of **representing two data structures**,  $\mathscr{D}$  and  $\mathscr{L}$ .

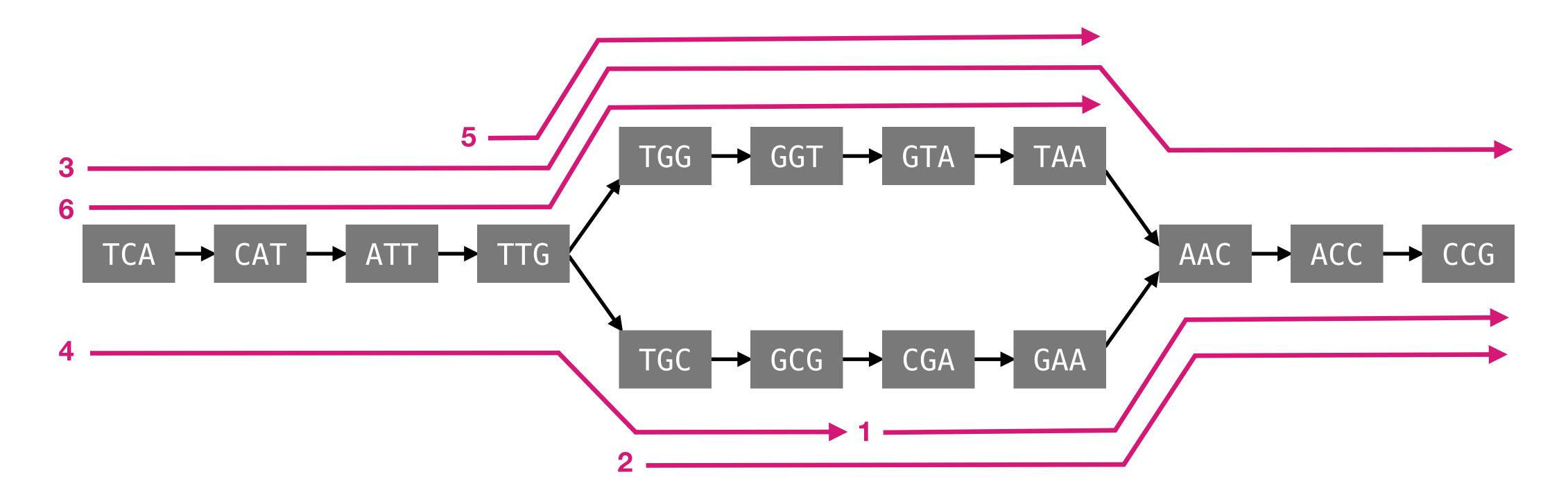


# de Bruijn graphs

- One-to-one correspondence between a set of k-mers and a de Bruijn graph (dBG). lacksquare
- Example for  $\mathbf{k} = \mathbf{3}$ . lacksquare

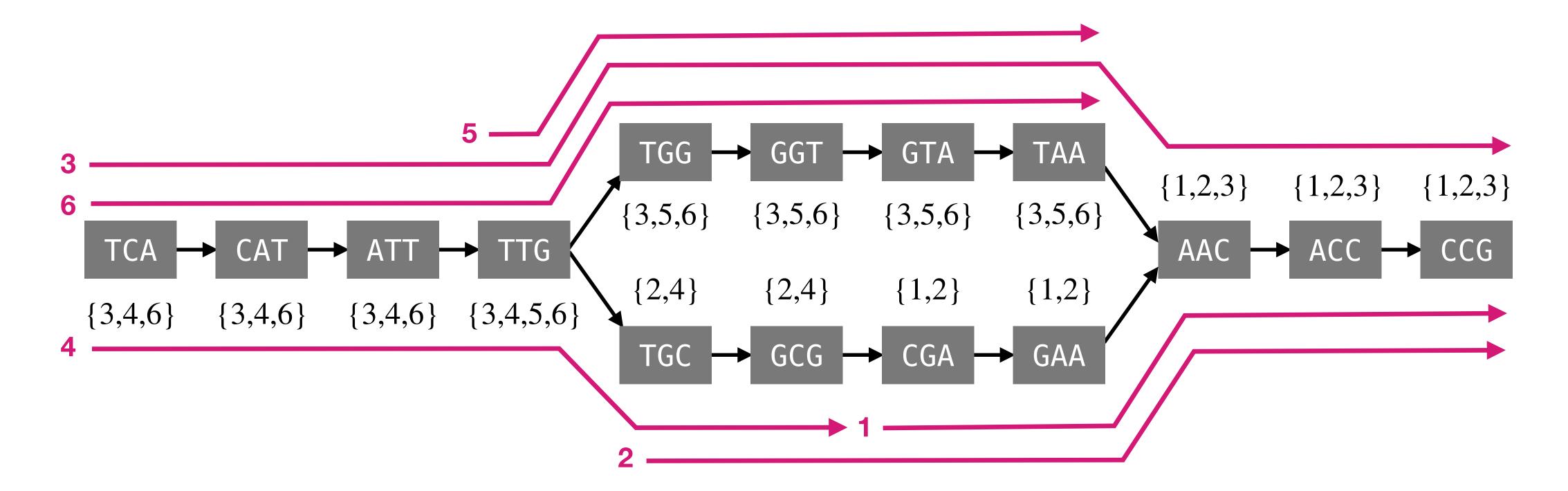


- One-to-one correspondence between a set of k-mers and a de Bruijn graph (dBG).
- Example for k = 3 and N = 6 references. References in  $\mathscr{R}$  are spelled by paths in the graph.



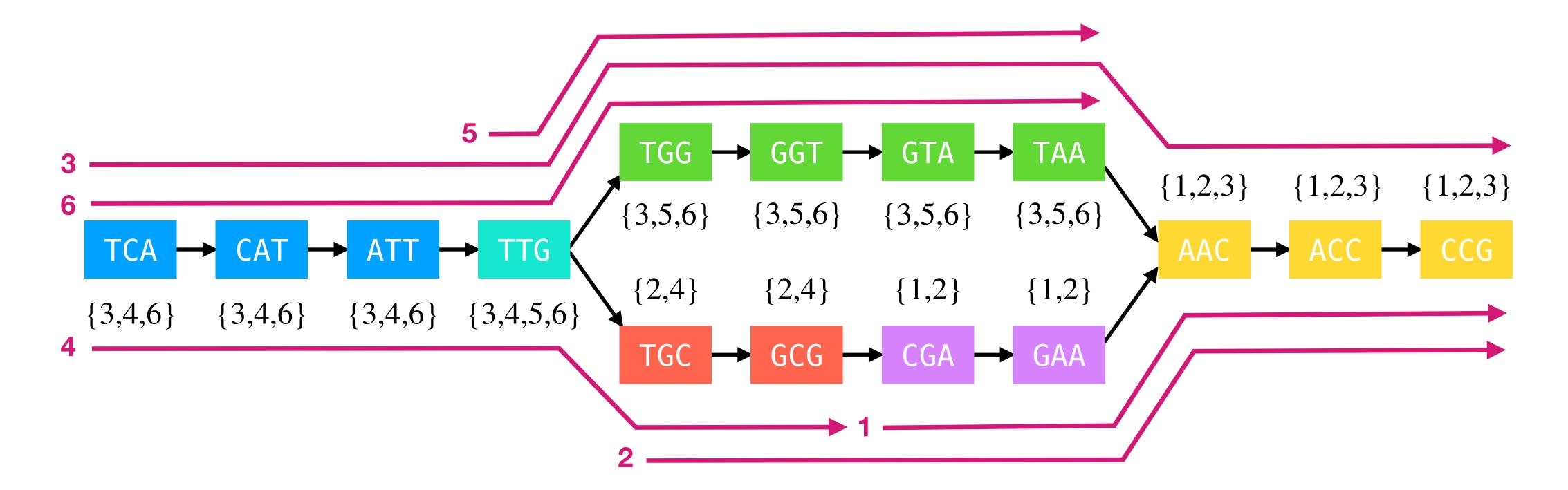


- One-to-one correspondence between a set of k-mers and a de Bruijn graph (dBG).
- Example for k = 3 and N = 6 references. References in  $\mathscr{R}$  are spelled by paths in the graph.



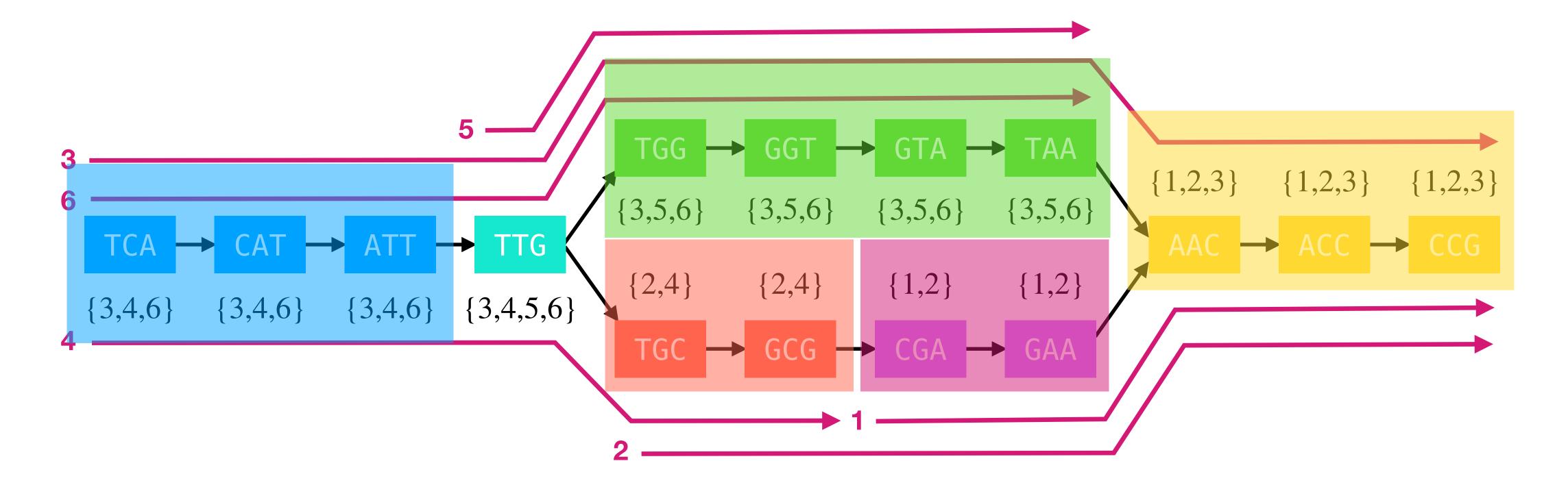


- One-to-one correspondence between a set of k-mers and a de Bruijn graph (dBG).
- Example for k = 3 and N = 6 references. References in  $\mathscr{R}$  are spelled by paths in the graph.



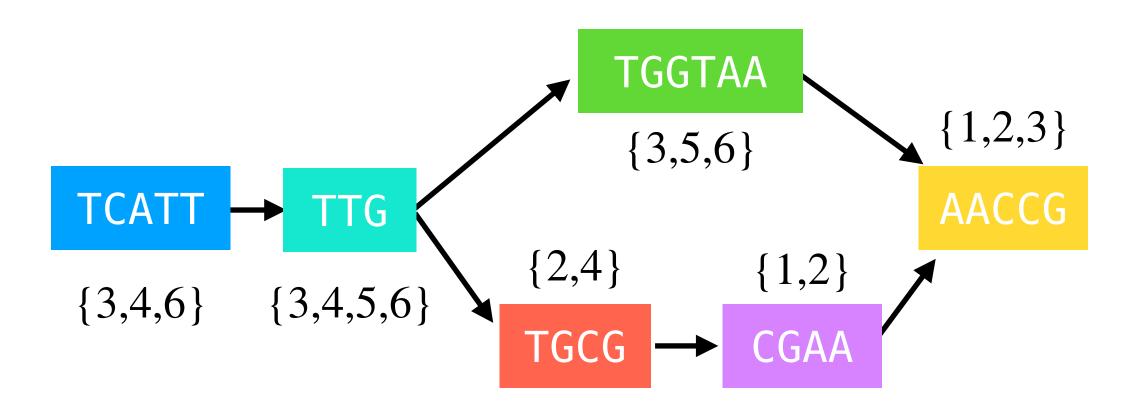


- One-to-one correspondence between a set of k-mers and a de Bruijn graph (dBG).
- Example for k = 3 and N = 6 references. References in  $\mathscr{R}$  are spelled by paths in the graph.





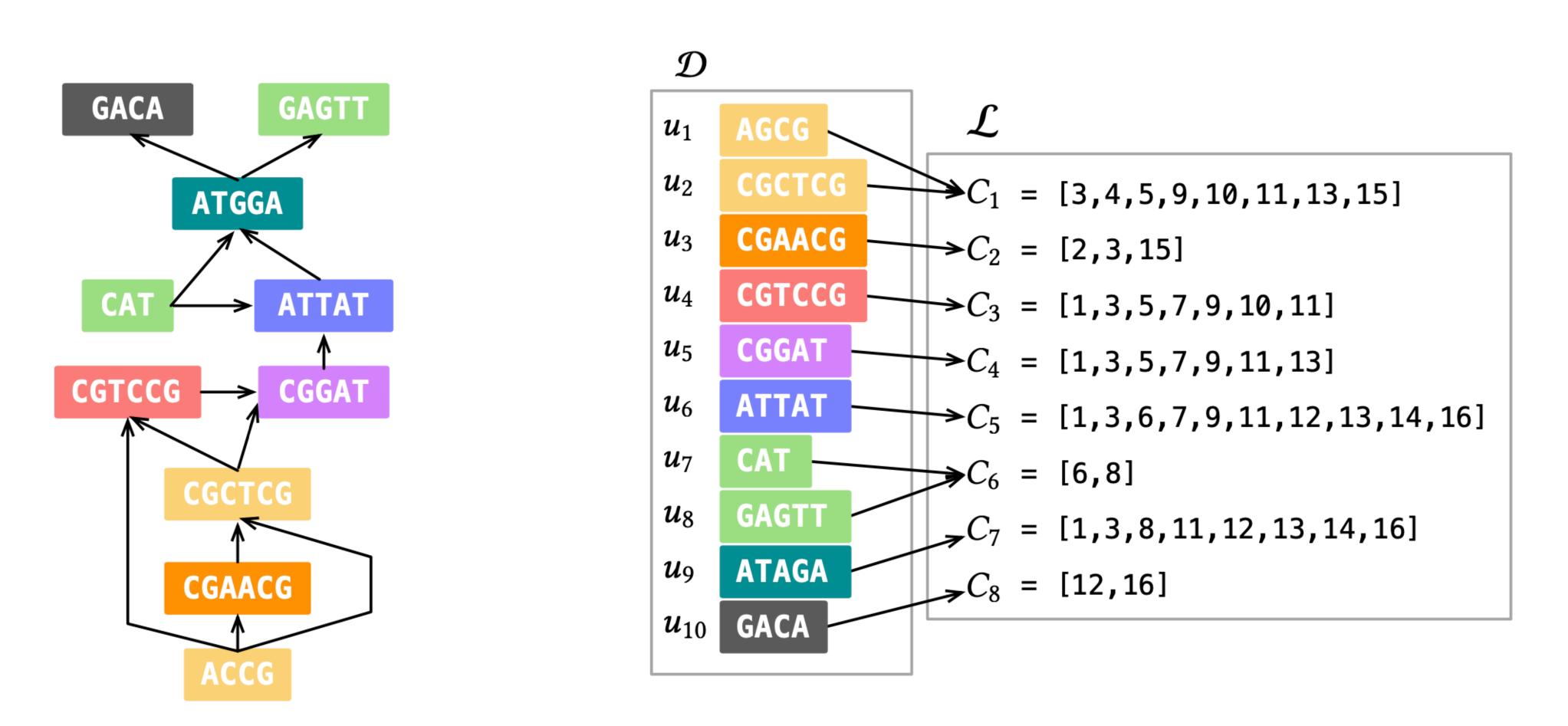
# **Colored compacted de Bruijn graphs**



One-to-one correspondence between a set of k-mers and a de Bruijn graph (dBG). Nodes having the same color along non-branching paths are collapsed into unitigs.

# **Colored compacted de Bruijn graphs**

Another, larger, example for N = 16 references.



## **Properties of colored compacted dBGs**

- 1. Unitigs spell references in  $\mathscr{R}$ .  $\rightarrow$  We can represent the set of unitigs instead of the set of k-mers. Better space and cache locality.
- 2. Unitigs are monochromatic.  $\rightarrow$  We store a color set for each unitig, rather than for each k-mer. We need an efficient map from k-mers to unitigs.
- Unitigs co-occur. → Distinct unitigs often have the same color, i.e., they co-occur in the same subset of references. We have way less distinct colors than unitigs. We need an efficient map from unitigs to colors.

## **Properties of colored compacted dBGs**

- k-mers. Better space and cache locality.
- k-mer. We need an efficient map from k-mers to unitigs.
- 3. same subset of references. We have way less distinct colors than unitigs. We need an efficient map from unitigs to colors.

#### **SSHash** [P., 2022]

1. Unitigs spell references in  $\mathscr{R}$ .  $\rightarrow$  We can represent the set of unitigs instead of the set of

2. Unitigs are monochromatic.  $\rightarrow$  We store a color set for each unitig, rather than for each

**Unitigs co-occur.**  $\rightarrow$  Distinct unitigs often have the same color, i.e., they co-occur in the



## **Properties of colored compacted dBGs**

- k-mers. Better space and cache locality.
- k-mer. We need an efficient map from k-mers to unitigs.
- same subset of references. We have way less distinct colors than unitigs. We need an efficient map from unitigs to colors.
- but common patterns are factored out and compressed once.

#### **SSHash** [P., 2022]

1. Unitigs spell references in  $\mathscr{R}$ .  $\rightarrow$  We can represent the set of unitigs instead of the set of

2. Unitigs are monochromatic.  $\rightarrow$  We store a color set for each unitig, rather than for each

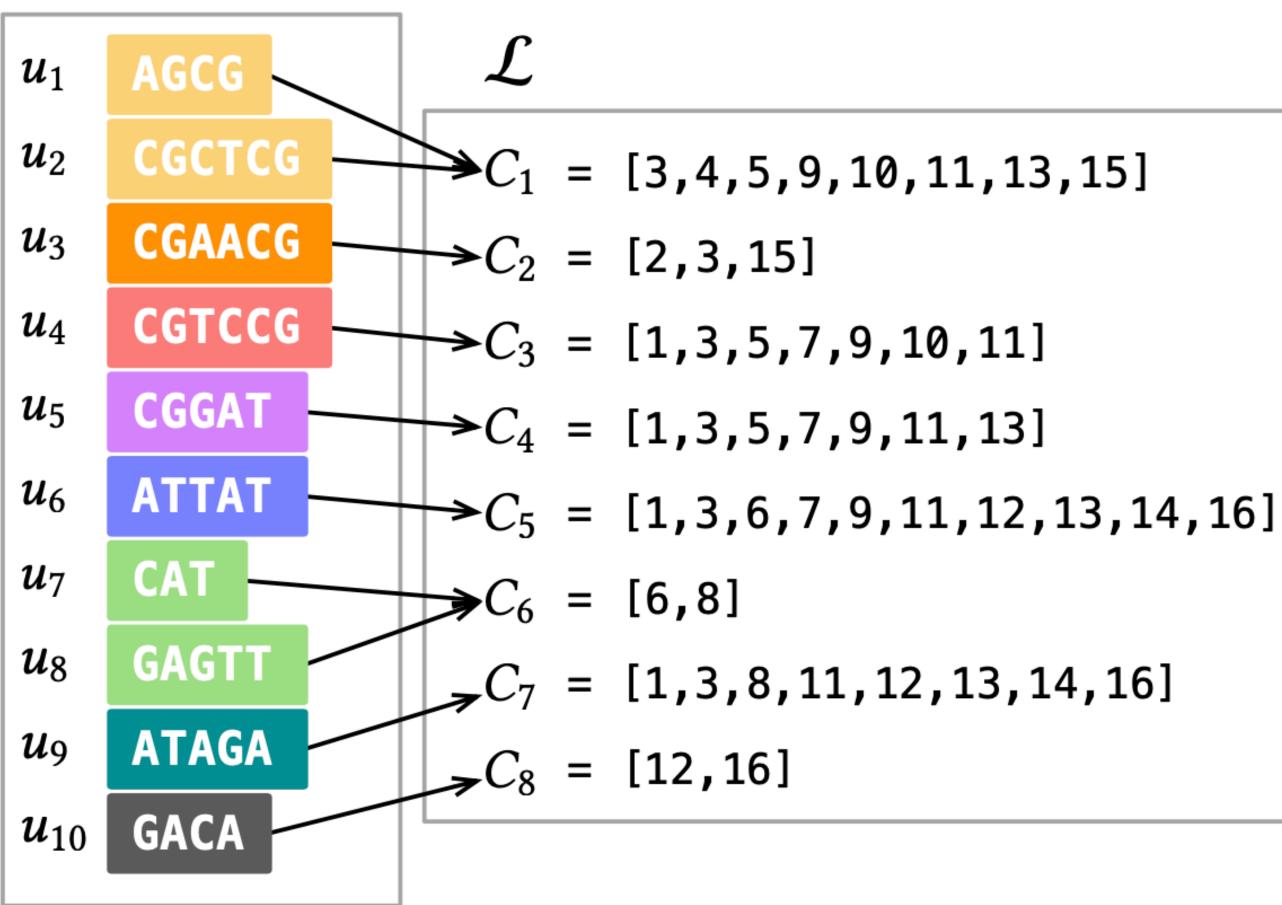
3. Unitigs co-occur.  $\rightarrow$  Distinct unitigs often have the same color, i.e., they co-occur in the

4. Colors are similar when indexing pangenomes.  $\rightarrow$  Opportunity to achieve much better compression if colors are not compressed *individually* (each set independently of the others)



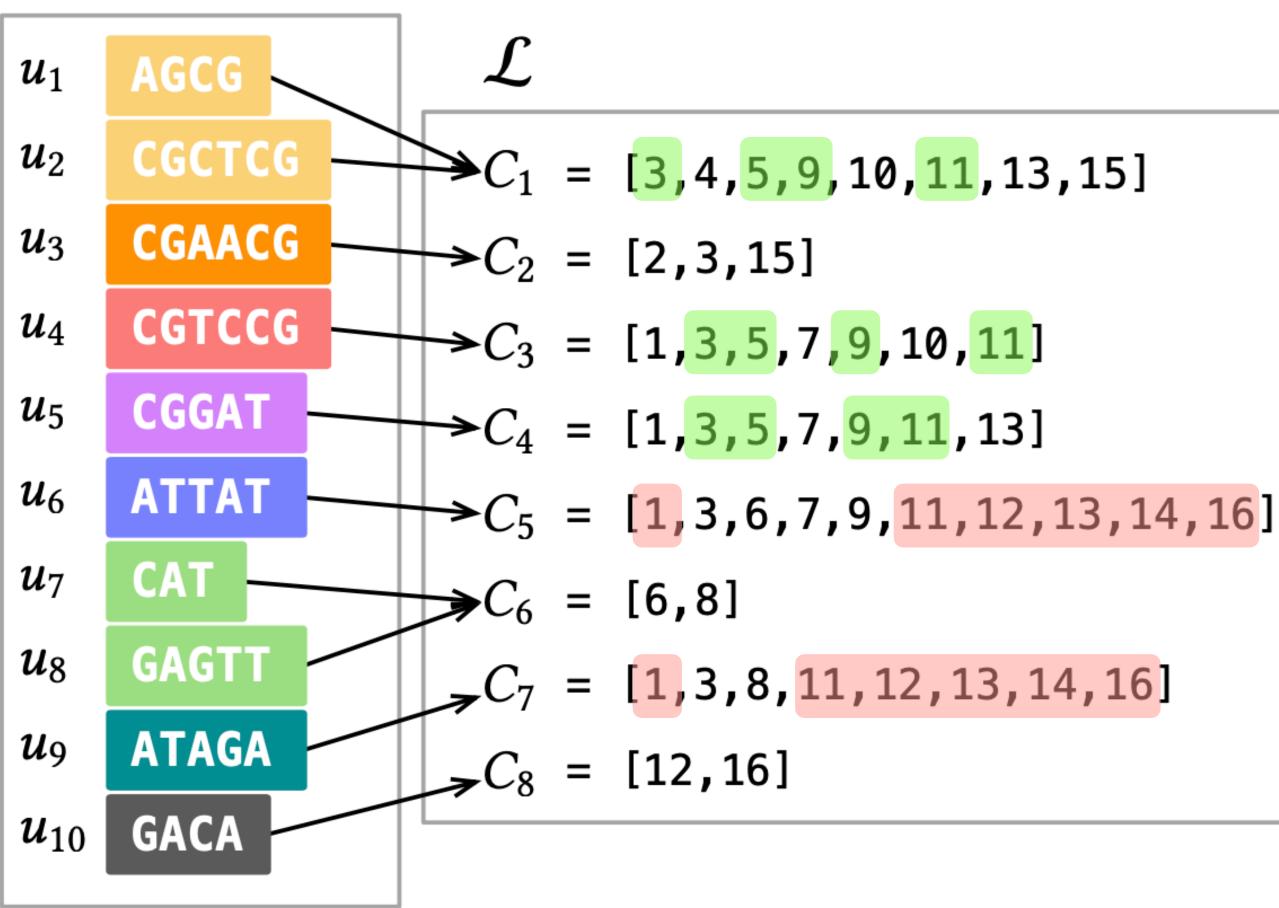
## **Colors are similar when indexing pangenomes**





# **Colors are similar when indexing pangenomes**

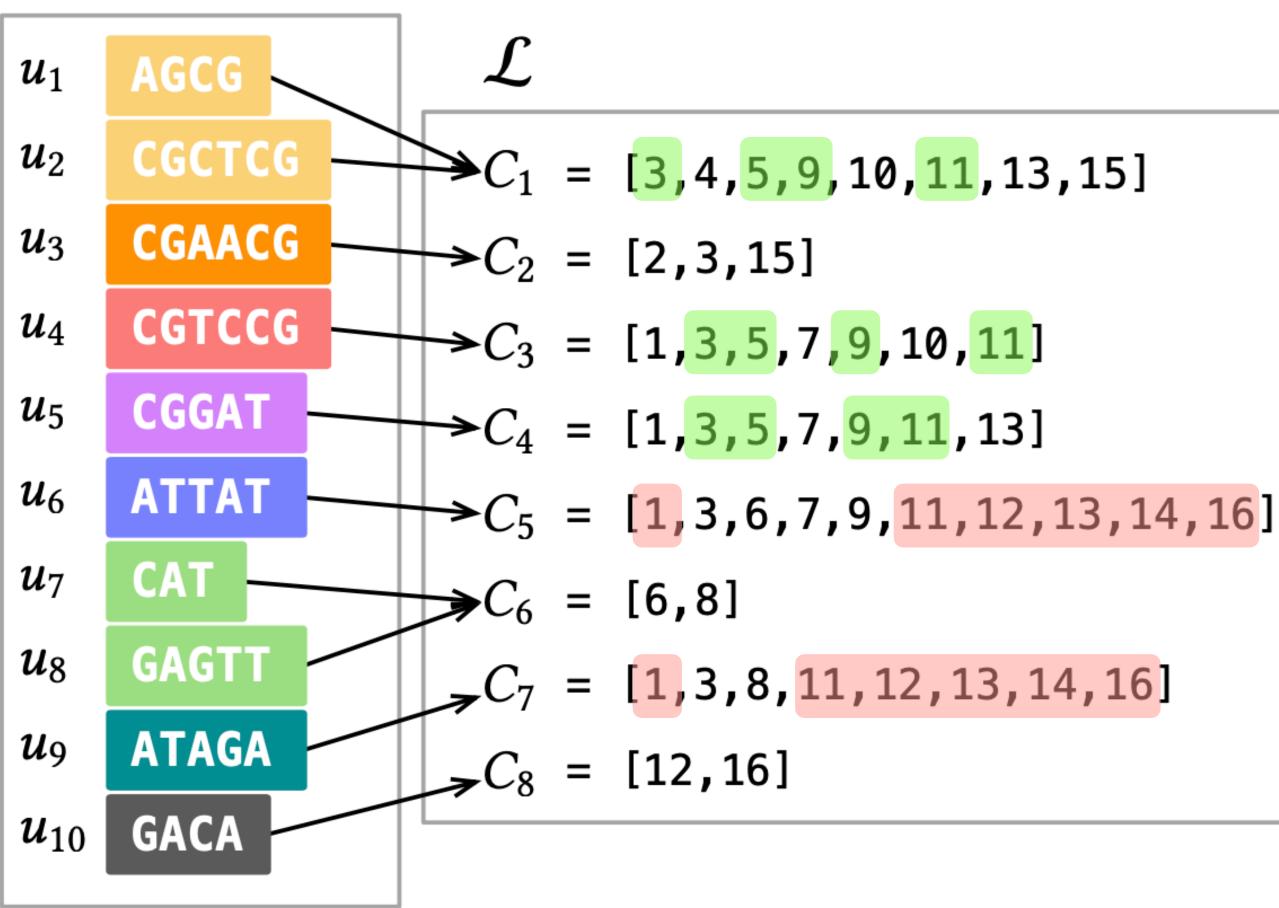
 ${\mathcal D}$ 



- The pattern  $\{3, 5, 9, 11\}$  is currently represented three times.
- The pattern  $\{1,11,12,13,14,16\}$  is represented twice.

# **Colors are similar when indexing pangenomes**

 ${\mathcal D}$ 



- The pattern  $\{3, 5, 9, 11\}$  is currently represented three times.
- The pattern  $\{1,11,12,13,14,16\}$  is represented twice.
- **Q.** How to factor out this lacksquareredundancy?

- Determine a **partition** of  $[N] = \{1, ..., N\}$  so that references in the same partition are similar. Take the set of distinct **partial** colors for each partition  $\rightarrow$  factor out the redundancy.
- Intuition: similar references induce similar colors and thus share patterns.
- Now we can render each original color as a sequence of references, or meta colors, to those partial colors.
- Bonus: Reference identifiers inside each partition can be re-assigned to save space.
- Let's consider an example.

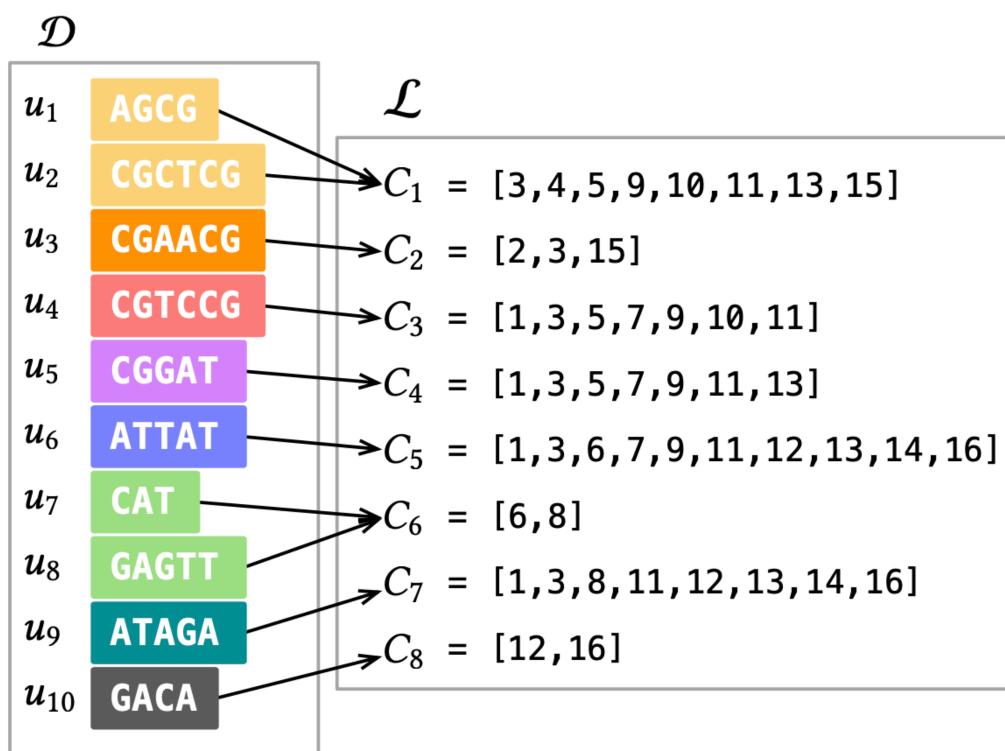
• Example for **N** = **16** references and **4** partitions.



this defines a permutation  $\pi$ 

Example for N = 16 references and 4 partitions.

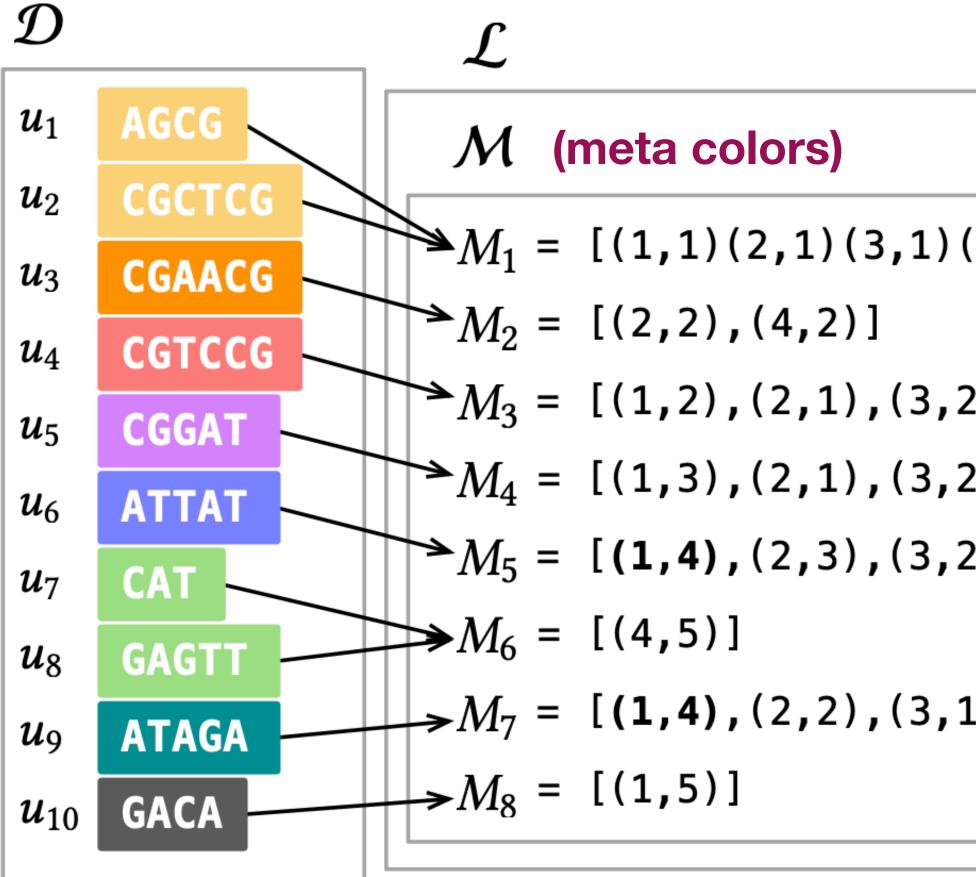
 $new \ identifiers \rightarrow 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8 \ 9 \ 10 \ 11 \ 12 \ 13 \ 14 \ 15 \ 16$ 



this defines a  $\{ 1 12 13 14 16 \} \{ 3 5 9 \} \{ 7 11 \} \{ 2 4 6 8 10 15 \}$ permutation  $\pi$ 

> $C_1 = [3|6, 7, 8|10|12, 15, 16]$  $C_2 = [6|11, 16]$  $C_3 = [1|6, 7, 8|9, 10|15]$  $C_4 = [1, 3|6, 7, 8|9, 10]$  $C_5 = [1, 2, 3, 4, 5|6, 8|9, 10|13]$  $C_6 = [13, 14]$  $C_7 = [1, 2, 3, 4, 5|6|10|14]$  $C_8 = [2, 5]$

Example for N = 16 references and 4 partitions.



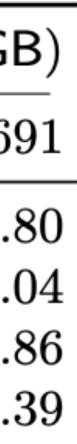


$\mathcal{P}$ (partial colors)											
(4,1)]	$P_{1,1} = [3]$	$P_{3,1} = [2]$									
	$ P_{1,2} = [1]$	$P_{3,2} = [1,2]$									
2),(4,3)]	$ P_{1,3} = [1,3]$										
2)]	$ P_{1,4} = [1,2,3,4,5] $	$P_{4,1} = [2,5,6]$									
2),(4,4)]	$ P_{1,5} = [2,5]$	$P_{4,2} = [1,6]$									
<b><i><i>L</i></i></b> ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		$P_{4,3} = [5]$									
	$P_{2,1} = [1,2,3]$	$P_{4,4} = [3]$									
1),(4,6)]	$ P_{2,2} = [1]$	$P_{4,5} = [3,4]$									
	$P_{2,3} = [1,3]$	$P_{4,6} = [4]$									



• Results on some large pangenome collections of different complexities.

	E. Coli (EC)		S.	Gut bacteria (GB			
Genomes	$3,\!682$	$5,\!000$	10,000	50,000	100,000	150,000	30,69
Distinct colors $(\times 10^6)$	5.59	2.69	4.24	13.92	19.36	23.61	227.8
Integers in colors $(\times 10^9)$	5.74	5.77	15.68	133.49	303.53	490.04	10.0
k-mers in dBG ( $\times 10^6$ )	170.65	104.69	239.88	806.23	1,018.69	$1,\!194.44$	$13,\!936.8$
Unitigs in dBG $(\times 10^6)$	9.31	4.95	8.24	30.64	41.16	49.60	566.3



## Index space in GB

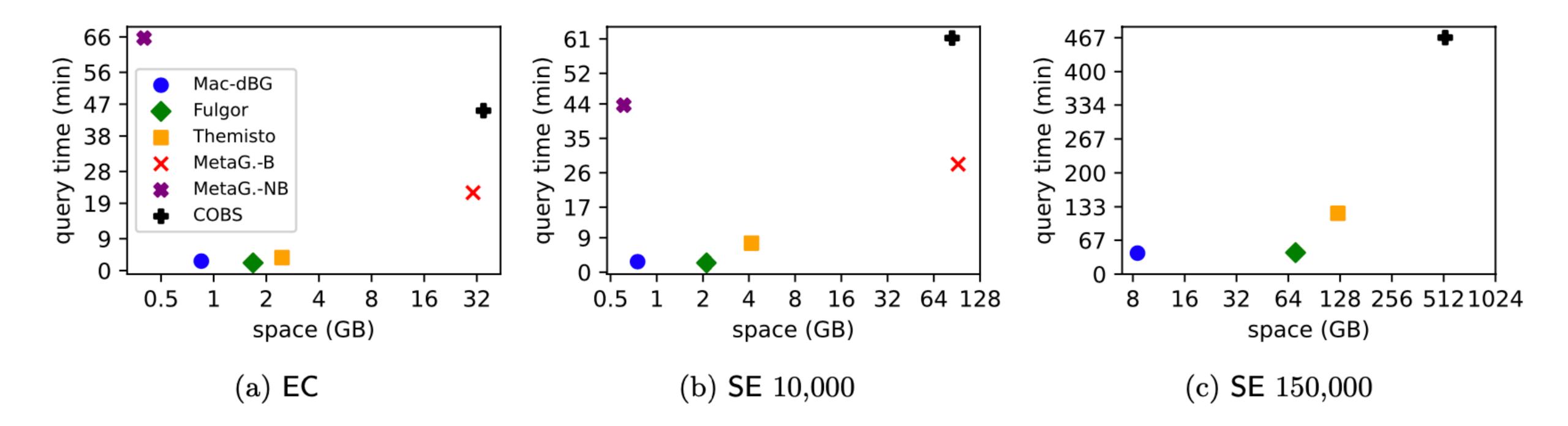
	Genomes	Mac-dBG				Fulgor		Themisto			MetaGraph			COBS
_	Genenics		dBG Colors Total		dBG Colors		Total	dBG Colors		Total	dBG Colors		Total	Total
EC	$3,\!682$	0.29	0.52	0.81	0.29	1.36	1.65	0.22	1.85	2.08	0.10	0.23	0.33	7.53
	5,000	0.16	0.16	0.32	0.16	0.59	0.75	0.14	1.29	1.43	0.07	0.19	0.26	9.11
	10,000	0.35	0.33	0.68	0.35	1.66	2.01	0.32	3.50	3.81	0.13	0.38	0.51	18.68
SE	50,000	1.26	2.14	3.40	1.26	17.03	18.30	1.07	32.42	33.48	0.36	1.95	2.31	88.61
	$100,\!000$	1.72	3.83	5.55	1.72	40.70	42.44	1.35	75.94	77.28	0.45	3.50	3.95	173.58
	$150,\!000$	2.03	5.37	7.40	2.03	68.60	70.66	1.58	125.16	126.74			—	265.49
GB	30,691	21.31	7.85	29.16	21.31	15.45	36.85	18.33	30.88	49.21	5.23	4.77	10.00	21.23



# Query efficiency

Genomes Rate		Mac-dBG		Fulgor		Themisto		MetaGB		MetaGNB		COBS		
		mm:ss	GB	mm:ss	GB	h:mm:ss	GB	mm:ss	GB	h:mm:ss	GB	h:mm:ss	GB	
EC	$3,\!682$	98.99	2:40	0.85	2:10	1.68	0:03:40	2.46	22:00	30.44	1:05:41	0.40	0:45:11	34.93
SE	5,000 10,000 50,000 100,000 150,000	$89.49\\89.71\\91.25\\91.41\\91.52$	$1:16 \\ 2:45 \\ 14:00 \\ 26:48 \\ 41:30$	$\begin{array}{c} 0.37 \\ 0.75 \\ 3.65 \\ 6.29 \\ 8.51 \end{array}$	1:16 2:26 19:15 27:30 42:30	2.11 18.53 42.78	0:03:50 0:07:35 0:42:02 1:22:00 2:00:13	$4.16 \\ 33.14 \\ 75.93$		36.54 92.18 		$0.61 \\ 2.72$	0:38:34 1:01:14 3:54:18 8:07:29 7:47:14	84.20 408.82 522.56
GB	$30,\!691$	92.91	01:03	28.51	01:10	30.02	0:01:20	48.47	28:55	15.86	0:22:05	9.91	0:34:45	225.57

#### **Overall comparison**



## Conclusions

- compared to the state of the art.
- **Space improvement can be dramatic:** almost up to one order of magnitude.
- **Query efficiency not harmed** despite of the significant space reduction.
- Code is available: <u>https://github.com/jermp/fulgor/releases/tag/v2.0.0</u>.
- Many open problems and future directions, check our pre-print out: https://doi.org/10.1101/2023.07.21.550101.

The meta-colored de Bruijn graph (or Mac-dBG) embodies a superior space/time trade-off

**Take-away:** No reason not to use meta-colored dBGs to compress and index pangenomes!

## Conclusions

- compared to the state of the art.
- **Space improvement can be dramatic:** almost up to one order of magnitude.
- **Query efficiency not harmed** despite of the significant space reduction.
- Code is available: <u>https://github.com/jermp/fulgor/releases/tag/v2.0.0</u>.
- Many open problems and future directions, check our pre-print out: https://doi.org/10.1101/2023.07.21.550101.



The meta-colored de Bruijn graph (or Mac-dBG) embodies a superior space/time trade-off

**Take-away:** No reason not to use meta-colored dBGs to compress and index pangenomes!

# Thank you for the attention!